AUTOMATED TESTING

FIELD OF THE INVENTION

5   The present invention relates to the field of
automated testing.

BACKGROUND OF THE INVENTION

10   It is vital to ensure that a product or system is
fully operational and consistently performs according to
its functional specification before it is made available
to the public. The reliability of computer software/
hardware is especially important since computers form the
15   backbone of an increasingly large number of
organisations. When a computer system fails to respond as
intended, businesses are invariably unable to provide
even the most basic of services. Money, reputation or
even lives may be lost, dependant upon the criticality of
20   the service, the outage time etc.

In today's increasingly competitive market-place,
quality and reliability are of the utmost importance.
Customers do not tolerate mistakes and the later a defect
25   is discovered, the more costly it can prove to the
manufacturer. Furthermore, software is undergoing a
revolution in terms of complexity from a test perspective
and the majority of today's software relies on testing
software for its development. Exhaustive testing is
30   impractical, if not impossible, but what is important

however is that a computer system is subjected to as many operational scenarios as is feasible. Any resulting problems can then be corrected before the system is released.

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Typically, these operational scenarios may be simulated using a large number of small computer programs known as test cases. Each test case, within an overall test suite, is designed to test a different aspect of the system. A test harness is used to run the suite of test cases as well as performing other tasks such as finding test cases in a directory tree and producing a report describing which test cases passed and which ones failed.

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A test plan is a set of test cases plus any other additional information that may be required to complete the testing, such as the required environment and context. Preferably, the plan should be derived as accurately and completely as possible from the functional specification of the system/software under test. Testing against a functional specification requires the system and/or software under test to be driven through a sequence of states. The test plan should ensure that every specification item is "covered" by a test case.

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In order to manually test a system and/or software, a tester must hard code each test case. Manual testing is advantageous if the tester has knowledge of the system/software under test, the number of test cases is

small and limited in scope and test results are required quickly.

Automated software testing typically involves a tool that automatically enters a predetermined set of characters or user commands in order to test a system/software. The automation of software testing is necessary due to several factors, such as rapid delivery and reliability of software products. "Silktest" from Segue Software, Inc. and "Winrunner" from Mercury Interactive are tools that automatically test GUIs. The tools automate the process of interacting with the GUI (e.g. a web browser). The tools can either record user interactions with the GUI, or be programmed to reproduce user interactions with the GUI. In other words, the tools emulate a user at a keyboard and screen.

Automation has several advantages over manual testing. For example, when performing manual tests, a human tester needs to understand and be familiar with the system/software under test, which requires a high level of programming skill. Automation allows for testing of a larger proportion of the system/software under test with more efficiency and speed than manual testing. Furthermore, fewer testers need to be employed in order to execute automated tests.

However, there are still disadvantages and difficulties associated with automated testing. For example, a tester must resolve the trade off between cost

and effort of automation versus the implementation of
manual testing. For example, when automating testing of a
Graphical User Interface (GUI), the tester typically
needs to define the test case; set up and practise the
5   test case; store the test case; edit it to add error
handling etc.; maintain the test case whenever the GUI is
changed; run the test case periodically; check the
results and investigate any test cases which fail. This
procedure generally requires more effort to *plan and*
10   *organise* than running the test case manually.

A high proportion of software is designed for reuse
in heterogeneous environments (differing operating
systems, database types, user interfaces, communications
15   layers etc.). For every combination of software and
environment, re-testing of that software is required.
Therefore, the sheer numbers of possible states (and
therefore test cases) of the software that may arise
contribute to an ongoing and rapid explosion in the
20   amount of work involved in testing software.

Systems and software are prone to extensive change
during development and between releases. The effort in
automating tests is often not reusable when system
25   configuration changes; between different releases of
software or between different environments because if
these changes include new or changed functions or
parameters, each associated test case must be revised and
this results in a considerable maintenance overhead. For
30   this reason, test tools are often used early in the

development cycle by developers keen to use automation, only to be discarded towards the end of the cycle or during development of the next release of software, because of the problem of maintenance.

Thus, there is a need for a reduction in the maintenance overhead for testers, especially in cases where functions and parameters associated with the software under test are to change frequently. There is also a need to be able to re-use the effort involved in automating test cases.

Furthermore, there is a need for a technique with features to emulate the good practices of human testers, such as being able to cope when information is inadequate, incomplete or invalid; and identifying strategies that apply to a particular scenario so that this information can be shared with other similar systems and with other human testers.

SUMMARY OF THE INVENTION

According to a first aspect, the present invention provides a system for recording for reuse, at least one test event and at least one associated response, said system comprising: an application program for testing at least one function of a component to be tested: a communication protocol for sending by said application program, said at least one test event to said component and receiving from said component, said at least one

associated response; storage for storing by a tracer, said at least one test event and said at least one associated response, in a trace file; an analyser for analysing said trace file; an extractor for extracting at

5   least one minimum set of test events from said trace file, wherein said at least one minimum set generates said at least one associated response; and said storage being further adapted to store said at least one minimum set and said at least one associated response.

10   Advantageously, reusable sets of test events and associated responses are stored, so that test case can be re-created in differing environments without the need for constant maintenance.

15   Preferably, the analyser comprises means for determining whether the trace file is empty, means for parsing test events and means for creating at least one "situation". Each situation comprises a minimum set of events and an associated response. A database of

20   situations can be created, so that a tester has a set of generic test cases to hand which can be re-used across heterogeneous systems

         In a preferred embodiment, the extractor iteratively

25   analyses the stored situations to remove intervening test events one at a time. The associated situation is tested each time by the analyzer to ensure that the refined situation still works. The resulting situation data is now more general.

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It is an advantage of the present invention to allow
two or more situations to share test events. Preferably,
if a shared test event generates *two* or more associated
responses a rule is invoked whereby only one associated
5   situation overrides.

According to a second aspect, the present invention
provides a method for recording for reuse, at least one
test event and at least one associated response, for use
10   in a system comprising: an application program for
testing at least one function of a component to be
tested, said method comprising the steps of: sending by
said application program, said at least one test event to
said component and receiving from said component, said at
15   least one associated response; storing said at least one
test event and said at least one associated response in a
trace file; analysing said trace file; extracting at
least one minimum set of test events from said trace
file, wherein said at least one minimum set generates
20   said at least one associated response; and storing said
at least one minimum set and said at least one associated
response.

According to a third aspect, the present invention
25   provides a computer program comprising program code means
adapted to perform all the steps of the method as
described above when said program is run on a computer.

BRIEF DESCRIPTION OF THE DRAWINGS

The present invention will now be described, by way of example only, with reference to preferred embodiments thereof, as illustrated in the following drawings:

FIGURE 1 shows a pictorial representation of a distributed data processing system;

FIGURE 2A shows a simplified overview of a prior art automated test system;

FIGURE 2B shows a representation of a prior art test case;

FIGURE 3 is a flow chart showing the operational steps involved in a prior art process of recording and playback;

FIGURE 4 is an example of a "situation" in accordance with the present invention;

FIGURE 5A is an overview of an automated test system, in accordance with the present invention;

FIGURE 5B is a flow chart showing the operational steps involved in a process of creating situations, implemented in the system of FIGURE 5A;

FIGURE 6 is a flow chart showing the operational steps involved a process to resolve conflicts upon re-play of a trace, in accordance with the present invention;

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FIGURE 7 is a flow chart showing the operational steps involved in a process to resolve conflicts that occur when implementing the process of FIGURE 5B; and

10   FIGURE 8 is an example of a "goal", in accordance with the present invention.

DETAILED DESCRIPTION OF THE INVENTION

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FIGURE 1 shows a pictorial representation of a distributed data processing system in which the present invention may be implemented. The distributed data processing system (100) comprises a number of computers,

20   connected by a network (102), which could be, for example, the Internet. A server computer (104) is connected to the network (102) along with client computers (108), (110) and (112). The server computer (104) has an associated storage unit (106).

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FIGURE 2A shows a simplified overview of a prior art automated test system (200) implemented using the distributed data processing system of FIGURE 1. The server computer (104) comprises an automated testing

30   application (205) and an associated storage unit (106)

that is used for logging. The server computer (104)
controls the testing of software (210) residing on a
system under test, in this case, client computer (108).
In a more complex example, a system under test can

5   comprise many hardware and/or software components,
networked machines, interfaces etc.

Some of the important concepts associated with
automated testing will now be described with reference to

10   FIGURE 2A. Typically, the testing application (205) sends
an input event (215) to the software under test (210) and
in response, receives an output event (220) from the
software under test (210). The output event (220) is
logged in the storage unit (106) and serves as a basis

15   for sending another input event to the software under
test (210). The testing application (205) and the
software under test (210) are subject to a *sequence* of
alternating input events and output events.

20   Input events and output events are typically textual
strings but can also be messages, user interface actions
etc. For example, if the software under test (210) is a
GUI, the input event (215) is a GUI action, e.g. a button
click. In response to the input event (215), the software

25   under test (210) produces an output event (220) e.g.
confirmation that the button is clicked. More detail such
as associated timing information and local variable names
may be required in order to execute test cases. These

details can be represented by using additional data
attached to each input event and output event.

As shown in FIGURE 2B, a test case (225) may
5   comprise one or more sequences of input events and output
events and any other information required to execute that
test case (e.g. associated timing information). A test
case is executed when the final output event (in this
case, O_j) is executed.
10

If several input events need to be sent to the
software under test before an output event is received,
or if several output events need to be sent to the
testing application before a final output event is
15   produced, the input events (or output events) can be
aggregated into a single "message". Interaction between
the testing application and the software under test can
be thought of as a two-way "conversation", comprising
alternating messages, whereby each message may comprise
20   one or more input events or one or more output events.
Each conversation moves the software under test from some
starting state to an end state, through a sequence of
intermediate states. An example of a fragment of a
conversation is shown below:
25

        Testing application: Select "File" menu;
        Software under test: File menu surfaced;
        Testing application: Select "Open" sub-menu;
        Software under test: "Open" sub-menu surfaced;
30   Testing application: Select file "X";

             Software under test: File "X" selected within
                                       "Open" sub-menu;
             Testing application: Press "Open" button;

5   A "trace" is defined as a historical record of a
     conversation as seen from the perspective of the tester.
     A trace is recorded by a tracing program and is stored in
     a trace file.

10   One embodiment of the prior art record/playback
     concept associated with automated testing will now be
     described with reference to FIGURE 3. A user's
     interactions with the software under test (e.g. button
     click) are emulated (step 300). The interactions are
15   input events and are usually in the form of scripts. The
     emulation step can either be carried out by a tester or
     an automated testing tool can be pre-programmed with user
     interactions, user commands etc. Next, these interactions
     are "recorded" (step 305) i.e. logged and stored (for
20   example, in a storage unit such as 106).

         In order to execute a test of a function (e.g. the
     click of a button) of the software under test, the
     recorded interactions are automatically "played back"
25   (step 310) i.e. the input events are sent to the software
     under test. Following playback, the results (i.e. output
     events from the software under test) are received and
     then analysed (step 315). An automated test tool can
     re-play interactions continuously, whilst storing results
30   from each re-play for analysis.

In the prior art, if continuous and major changes are made to the software under test, test cases will need updating to cope. This process is time consuming especially in an environment requiring rapid results.

Accordingly, the present invention provides a method of analysing a trace file and extracting the *minimum* amount of events that need to occur in order to execute a test case (i.e. to produce an output event from the system and/or software under test). Advantageously, this minimum amount of information can be reusable in any environment since the tester can configure the base set of events with environment-specific details (e.g. whereby the operating system is "AIX" (AIX is a registered trademark of International Business Machines Corporation)). The minimum set of events is extracted from the trace file, since this is the most reusable and applicable form.

A. SITUATIONS

A trace is a record of a sequence of alternating input and output events, for example:

Input 1, Output 1, Input 2, Output 2, Input 1, Output 3

Since the events in a trace are sequential, the order in which the events occur is important. However, the related events need not be contiguous in the trace.

According to the present invention, a minimum set of events that is required in order to produce an output event is extracted. The events required to uniquely identify an output event will occur before that output event in a trace. In a simple example, the event that immediately precedes an output event, predicts that output event uniquely in a trace. However, more complex patterns of events are possible, whereby a unique *sequence* of events occurs immediately before every occurrence of a particular output event.

The unique event or sequence of events that produce a particular output event, together with the output event itself is referred to herein as a "situation". Situations can be logged in a knowledge base to facilitate future analysis and reuse. Each situation will only progress whenever the *expected* event occurs. When the last expected event in the sequence arrives, a final output event is produced. More complex models could be established, for example, where time delays are incorporated between events.

A situation typically comprises:

1. A start event - this is either an input event or an output event and triggers a situation to start
2. Intermediate event - there can be multiple intermediate events present

3. An end event – the event that immediately precedes the
   final output event

4. A final output event

An example of a situation (400) is shown in FIGURE
4. The type of each event is shown with reference to the
above numerals.

A method for determining the minimum set of events
required in order to produce a final output event
according to the present invention, will now be
described, with reference to FIGURES 5A and 5B.

Referring to FIGURE 5A, there is a shown a test
system (500) wherein a testing application (205) tests a
function (510) of a component (505). A component can be
either a hardware component (e.g. a whole computer
system, a hard drive, a client computer (108) etc.) or a
software component (e.g. transaction processing software,
messaging software, the software under test (210) etc.).
Examples of testing a function of a hardware component
comprise: powering on a floppy disk drive, powering down
a printer, receiving data from a motherboard etc.
Examples of testing a function of a software component
comprise: setting the time on a system clock, clicking a
button within a GUI etc.

In order to test a function, input events are sent
from the testing application (205), over a communication

protocol (515) (e.g. SSL in a distributed environment or a shared memory data structure in a local environment), to the component (505). Output events from the component (505) are then received. A record (i.e. a trace) of the data exchanged between the testing application (205) and the component (505) is stored in a trace file by a tracing program (520). The trace file resides on a database (525). The stored trace and trace file can then be analysed in the future.

Before the process of FIGURE 5B is executed, a trace for analysis is obtained from database 525. In this example, the stored trace is shown below:

"Input 1 (I_1), Output 1 (O_1), Input 2 (I_2), Output 2 (O_2), Input 1 (I_1), Output 3 (O_3)"

Referring to FIGURE 5B, in step 545, an analyser program (530), determines whether the trace comprises any events. In this case the trace is not empty and the process passes to step 550, where the analyser (530) parses the first input event and output event (i.e. I_1 and O_1). In step 555, the analyser (530) creates a "situation" comprising I_1 and O_1 (for example purposes, in notation form, the situation is: $I_1 > O_1$). This situation is a record that tells the testing application (205) that if an input event (I_1) is sent, an output event (O_1) must be produced.

Processing passes to step 560, where the analyser (530) tests the situation and any other situations that have been created. In this case, only one situation has been created so far, namely, $I_1 > O_1$. The situation is tested by using playback, wherein each input event is sent to the component (505), in turn. The testing application (205) then waits until the associated output event is produced. In this example, sending an input event (I_1) produces an output event (O_1). In step 565, the analyser (530) determines whether a single output event has been generated in response to the testing process at step 560 and in this case, a positive result is returned. Therefore, the processing passes to step 570, where the analyser (530) adds the tested situation to an associated database (540).

The process returns to step 545, and since the trace comprises events, at step 550, the next input event and output event (i.e. I_2 and O_2) are parsed. In step 555, a "situation" comprising I_2 and O_2 is created, namely, $I_2 > O_2$. Processing passes to step 560, where the created situation and any other situations that have been created are tested. In this case, two situations are tested, namely, $I_1 > O_1$ and $I_2 > O_2$. Thus, sending an input event (I_1) produces an output event (O_1) and sending an input event (I_2) produces an output event (O_2). Since a single output event has been produced for each of the input events sent to the component (505), a positive result is returned in step 565 and the processing passes to step

570 where the created situation ($I_2 > O_2$) is added to the database (540).

The process returns to step 545, and since the trace comprises events, at step 550, the next input event and output event (i.e. I_1 and O_3) are parsed. In step 555, a "situation" comprising I_1 and O_3 is created, namely, $I_1 > O_3$. Processing passes to step 560 and in this case, three situations are tested, namely, $I_1 > O_1$; $I_2 > O_2$ and $I_1 > O_3$. Sending an input event (I_1) produces an output event (O_1), sending an input event (I_2) produces an output event (O_2) and sending an input event (I_1) produces two output events, namely, O_1 and O_3. Since two output events (O_1 and O_3) have been produced, in response to a negative result at step 565, processing passes to step 575.

At step 575, the situation ($I_1 > O_3$) is *extended* by adding the previous input event that had occurred in the trace, namely, Input 2 (I_2). This creates an extended situation, namely, $I_2 + I_1 > O_3$. It should be noted that the situations $I_2 > O_2$ and $I_2 + I_1 > O_3$ now *share* the event Input 2 (I_2). There is a problem associated with event sharing and a process needs to be executed in order to deal with this. This process is described with reference to FIGURE 6.

Referring back to FIGURE 5B, at step 580, the extended situation is now tested, together with any other situations that have been created. In this case, sending an input event (I_1) produces an output event (O_1). Sending

an input event (I_2) produces an output event (O_2),
however, since the extended situation is sharing input
event (I_2), the extended situation ($I_2 + I_1 > O_3$) has also
been triggered to progress and is waiting for its next
input event (I_1). When the next input event in the trace,
namely I_1, is sent to the component (505), an output
event (O_3) is produced. The process passes to step 565
and since a single output event has been produced for
each of the input events sent to the component (505), a
positive result is returned at step 565 and the
processing passes to step 570 where the extended
situation ($I_2 + I_1 > O_3$) is added to the database (540).

At step 575, adding the immediately preceding input
event that had occurred in the trace extends the
situation. It should be understood that in practice, this
process may have to be executed and tested (at step 580),
iteratively, until a single output event is generated.

The process returns to step 545, and since the trace
comprises no more events, processing passes to step 585.
At this step, a further process is carried out on the
situations in the database (540) by an extractor program
(535), in order to remove surplus events that are not
required to uniquely predict an associated output event.
An example of a surplus event is "click on a first frame
in a web page". Since not all systems support frames,
this event cannot be re-used across environments and
therefore it should be removed from the database (540).
At step 585, intervening events are removed one at a time

and the situation is tested each time by the analyzer
(530), to ensure that the situation still works.
Therefore, the refined situation data is more general and
can now be stored for reuse in the database (540).

5

Advantageously, the refined data is re-usable and
can be used in differing environments. For example, a
tester testing Version 2.0 of "SOFTWARE X" can utilize a
bank of stored situations relating to features that

10   Version 2.0 has in common with Version 1.0. In another
example of promoting reuse, if an unexpected response is
received from the component (505), in a distributed
environment, stored situations residing on other systems
can be searched in order to determine the unique sequence

15   of events required in order to replicate the unexpected
response.

Once situations have been created, the original
trace (stored in database 525) from which they arose can

20   be played back again in order to test whether expected
responses are obtained from the component (505). The
trace can be re-played in other environments (e.g.
differing operating systems, hardware etc) and the
results can be analysed. Upon re-playing of the trace,

25   the testing application (205) needs to know which output
responses have been generated by the component (505), in
order to log them in a test results log. Conflicts can
occur when two situations share an event.

FIGURE 6 is a flow chart showing the operational
steps involved in a process for dealing with conflicts
due to event sharing. Following the processes described
with reference to FIGURES 5A and 5B, the testing

5   application (205) has the following information to hand:

• Original trace stored in database 525:

"Input 1 (I_1), Output 1 (O_1), Input 2 (I_2),

10   Output 2 (O_2), Input 1 (I_1), Output 3 (O_3)"

• Situations logged in database 540:

Situation 1 ($I_1 > O_1$);

15   Situation 2 ($I_2 > O_2$);
Situation 3 ($I_2 + I_1 > O_3$)

Upon re-play of the trace above, input events are
sent to the component (505) and output events are

20   received. In step 600, the trace is re-played and the
first event (namely, I_1) is sent. I_1 is a start event and
the situations that it has triggered (this is known from
searching database 540) are logged and time stamped in
step 605. In this case, I_1 has triggered Situation 1 and

25   the time stamp is "15:00". In step 610, the input event
is logged against the relevant triggered situations, in
this case, I_1 is logged against Situation 1. An output
event (namely, O_1) is received from the component (505)
and this is logged against Situation 1. The log is shown

30   below:

Log

Situation 1 (15:00) - I_1; O_1

In step 615, a determination is made as to whether a conflict occurs. In this case, since a single output event (i.e. response) has been produced, the process passes to step 625. A determination is made as to whether any of the triggered situations have completed and in this case, in response to a positive result, the process passes to step 630. At this step, it is logged that the component (505) has produced the expected output event O_1, in response to input event I_1 being sent and therefore, Situation 1 has completed successfully. The log is shown below:

Log

Situation 1 (15:00) - I_1; O_1 Completed successfully

The process now passes to step 635, where a determination is made as to whether there are any more input events in the trace and in this case, since there are more input events, the process passes back to step 600.

When the trace is re-played at step 600, the next input event (namely, I_2) is sent. The database (540) of situations is searched in order to determine the

situations that have been triggered. In this case, I_2 has triggered Situation 2 and Situation 3 and the time stamp is "15:15".

5   In step 610, the input event is logged against the triggered situations. In this case, I_2 is logged against Situation 2 and Situation 3. An output event (namely, O_2) is received from the component (505) and this is logged against Situation 2. The log is shown below:

10

<u>Log</u>

Situation 1 (15:00) - I_1; O_1 Completed successfully
Situation 2 (15:15) - I_2; O_2
15   Situation 3 (15:15) - I_2

In step 615, a determination is made as to whether a conflict occurs. In this case, since a single output event (i.e. response) has been produced, the process 20   passes to step 625. A determination is made as to whether any of the triggered situations have completed and in this case, in response to a positive result, the process passes to step 630, where it is logged that the component (505) has produced the expected output event O_2, in 25   response to input event I_2 being sent and therefore, the Situation 2 has completed successfully. The log is shown below:

Log

Situation 1 (15:00) -	I_1; O_1	Completed successfully
Situation 2 (15:15) -	I_2; O_2	Completed successfully
Situation 3 (15:15) -	I_2	

The process now passes to step 635, where it is determined that there more input events in the trace and therefore, the process returns to step 600.

When the trace is re-played at step 600, the next input event (namely, I_1) is sent. The database (540) of situations is searched in order to determine the situations that have been triggered. In this case, I_1 has triggered Situation 1 again, and the time stamp is "15:30". However, I_1 is also required in order to complete Situation 3.

In step 610, the input event is logged against the triggered situations. In this case, I_1 is logged against Situation 3 and a second instance of Situation 1. Two output events (namely, O_1 and O_3) are received from the component (505) and these are logged against the relevant situations. The log is shown below:

Log

Situation 1 (15:00) -	I_1; O_1	Completed successfully
Situation 2 (15:15) -	I_2; O_2	Completed successfully
Situation 3 (15:15) -	I_2; I_1; O_3	

Situation 1 (15:30) - I_1; O_1

In step 615, a determination is made as to whether a conflict occurs. In this case a conflict has arisen since the testing application (205) is faced with the possibility of two output events from the component (505). Therefore, the testing application does not know what to log in the test results (at step 630), that is, whether Situation 3 has completed, or whether a *second* instance of Situation 1 has completed.

Therefore, processing passes to step 620, wherein a rule for dealing with the conflicts is invoked. In this embodiment, the rule for dealing with this complexity is that the "longer running" situation *overrides*. In this case, Situation 3, which has an earlier timestamp ("15:15") than the second instance of Situation 1 ("15:30"), overrides. The process passes to step 625, where it is determined by the testing application, that Situation 3 has completed. The results are logged in step 630 and the data associated with the second instance of Situation 1 is deleted from the log. The log is shown below:

Log

Situation 1 (15:00) - I_1; O_1 Completed successfully
Situation 2 (15:15) - I_2; O_2 Completed successfully
Situation 3 (15:15) - I_2; I_1; O_3 Completed successfully

However, it should be understood that in the record
of re-play, the second instance of O_1 (and therefore
Situation 1), remains. If when implementing the present
invention, the "sharing events" function is enabled, when
5   the records are analysed, the second instance is simply
overridden. The process now passes to step 635 and since
there are no more input events in the trace, the process
ends.

10   FIGURE 6 is one embodiment of dealing with sharing
events. In another embodiment, the sharing events
function can be disabled altogether. Furthermore, in the
FIGURE 6 embodiment, overlapping has been handled by
invoking a rule that enables the "longer running"
15   situation to override. It should be understood that many
types of different rules could be invoked in alternative
embodiments. For example, a rule that enables the most
frequently used situation or the shortest running
situation to override can be invoked.
20

Identical events will sometimes produce differing
final output events. For example a portion of a trace is
shown below:

25   I_A, O_B, I_A, O_Z

By implementing the method of FIGURE 5B, two
situations are created, namely, $I_A > O_B$ and $I_A > O_Z$. There
is now a conflict in that the same start event is
30   producing two different final output events. Therefore,

if the situations were added to the database (540) in
step 570, there would be no way of distinguishing between
the situations. This is a problem when trying to extract
"unique" situations, because in this example, the same

5   event produces final output events "B" and "Z". When
storing situations in a knowledge base, it is important
that a *unique* output event is produced in response to a
unique sequence of events, as this is the most re-usable
form.

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The process for dealing with this conflict is
described in more detail with reference to FIGURE 7. On
the first pass through the process of FIGURE 5B,
situation $I_A > O_B$ is added (step 570) to the database

15   (540). On the second pass through FIGURE 5B, before
situation $I_A > O_z$ is added to the database (540), the
processing passes to FIGURE 7. At step 700, a
determination to check whether a conflict would arise if
situation $I_A > O_z$ was added to the database (540).

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If it is determined that a conflict would not occur
(negative result to step 700), the situation is added
(step 570) to the database (540). However, in this case,
it is determined that a conflict would occur (positive

25   result to step 700), since the same input event is
producing two different output events. Therefore, the
processing passes to step 705 and the trace is
re-analysed in order to resolve the conflict. The
re-analysis identifies whether a previous unique sequence

30   of events for situation $I_A > O_z$ has occurred in the trace.

For example purposes, another portion of the trace is analysed:

$$I_x, O_Y, I_A, O_z$$

Therefore, from the above portion, it can be seen that a sequence of events (I_x, O_Y, I_A) to uniquely predict O_z has occurred previously. Processing now passes to step 710, which determines whether the conflict has been resolved. In this case, in response to a positive result, situation $I_x + O_Y + I_A > O_z$ is added (step 570) to the database (540). However, if by tracking back through the trace, the conflict has not been resolved (negative result to step 710) then the testing application (205) seeks (step 715) help from elsewhere.

B. GOALS:

In order to test a function, several situations may need to occur before a final output event is produced. For example, in order to save work in a computer system, the following actions will have to be executed:

"Open "File" menu";

"Click on "save" option"

Sets of situation can be grouped into "goals". In the example above, the goal is "Save work", the situations are "Open "File" menu" and "Click on "save"

option" and the final output event is "Work has been
saved."

Completion of multiple goals in sequence may be
required in order to test a function and therefore goals
can be nested. Referring to FIGURE 8, there is shown a
hierarchy of goals. Goal "A" (800) comprises Situation
"i" (805), Situation "ii" (810) and a final situation
(815). All the situations have associated input and
output events. Before completion of Goal "A" (800), Goal
"B" (820) must complete. Before completion of Goal "B"
(820), Goal "C" (825) and Goal "D" (830) must complete.
Each goal completes when the final situation in the set
associated with that goal completes e.g. For Goal "A", an
input event "t" must produce a final output event "u".

Another example of a nested goal is shown below. The
example below details some of the stages required to
complete a test to drive a car:

Goal 1: "drive a car"

    Sub goal a: "start engine"

    Sub goal b: "remove handbrake"

    Sub goal c: "engage first gear"

    Sub goal c(1): "push gear stick to the left"

    Sub goal n:

Goals can be stored in a knowledge base as well as situations and therefore the testing application (205) is aware of expected patterns.

5   **B.1. Completion of goals**

In order to establish whether a goal has completed, the final output event associated with that goal must be known. A goal can only have a single final output event (and therefore, a single state). If differing final

10   output events are required, each of the final output events must be associated with a different goal. Furthermore, since a goal has a single final output event, that final output event must not occur multiple times in the same goal. This is because the first

15   occurrence of the output event would be indistinguishable from subsequent occurrences. Therefore, if multiple identical output events are required, each output event must be associated with a different goal.

It is also important to know whether a goal has

20   *successfully* completed. There are many reasons for failure to complete, for example, if an output event has not been received by the testing application (205) within a "reasonable" time period, it can be concluded that the goal has failed.

25   Examples of a successful/failed goal include:

Success   – if a final output event is produced, the goal has succeeded.

Failure   - if a final output event is not produced, the
goal has failed.
Failure   - if an unrecognised output event is received,
the goal has failed.

B.2. Reuse of goals

An important advantage of the present invention is
to reuse and exchange information between systems and
testers. Therefore, heterogeneous systems must be
handled.

In a preferred embodiment, the function to
"generalise goals" is provided. In one embodiment, two
goals for specific functions, namely, "Drive to work" and
"Drive to school" can be generalised by creating a "Drive
to "X"" goal. This general goal comprises "common"
sub-goals (e.g. start the engine). Testing systems can
use the general goal and insert unique sub-goals as
required. In another embodiment, a goal that is the most
comprehensive, or the most frequently used is kept in the
store. Again, this allows particular systems to replace
sub-goals with the required version.

B.3. Maintenance of goals

To make the testing application user-friendlier, a
preferred implementation utilises "labels" (i.e. names)
for each goal so as to identify the function of each

goal. Thus structures built up from labelled goals map
well to human understanding of a process. Labelling will
also facilitate documentation and maintenance. It is
important that labels are "translated" between different
environments and heterogeneous systems. For example, a
goal labelled "Open a file called Fred" will not complete
in a system requiring a goal labelled "Open a file named
Bert". This problem is overcome by utilising the goal
generalisation principle outlined in B.2.

B.4. Advantages of goal structure.

It is possible to detect two useful pieces of
information from a set of goals. Firstly, if a goal or
sub-goal does not produce a final output event, the
testing application can seek additional information at
the point of failure. Secondly, if at any stage of the
test plan, there is no progress in the goals or if known
output events are being repeated and nothing else is
changing, then a loop has occurred.

In some cases, a repeated goal is valid and
therefore, in a preferred implementation, the testing
application (205) is programmed to assume that a loop has
occurred after a threshold has been reached. For example,
after a certain number of repetitions has been reached.
Therefore, it is an advantage of the present invention to
detect loops by checking for progress within situations
or new responses.

It should be understood that although the preferred embodiment has been described within a networked client-server environment, the present invention could be implemented in any environment. For example, the present

5   invention could be implemented in a stand-alone environment whereby a testing application running on a computer machine tests an application program or a hardware component associated with the same machine. Furthermore, although two storage units (525 and 540)

10   have been described, it should be understood that the present invention could be implemented by utilising one or more storage units.

It will be apparent from the above description that,

15   by using the techniques of the preferred embodiment, a method for extracting data for re-use is provided. Information can be re-used between systems and between testers and this has many applications. For example, a tester can reuse knowledge to create test cases whereby

20   known input events produce known output events so that less time is spent in preparing test cases. In another example, a testing application can handle new scenarios by utilising existing information from past scenarios. In yet another example, a testing application can handle new

25   combinations of known scenarios, by referring to a knowledge base of known scenarios.

In summary, the present invention provides all the advantages associated with automated testing, such as,

30   repeatability; speed; coverage of a higher proportion of

the system or software under test and the ability to
leave the tests to run unattended. However, it also
provides flexibility, reduces maintenance overhead and
promotes reuse.

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